

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: T. Beaver, et al.
SERIAL NO. 10/036,901
FILING DATE: December 21, 2001
INVENTION: DEVICE AND METHOD FOR PREVENTING SKIDDING OF A CONTAINER

ATTORNEY DOCKET NO. 9798495-0037
GROUP ART UNIT: unknown
EXAMINER: unknown

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231



Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$___ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger, Reg. 32,919

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office in Washington D.C. on February 5, 2002.

Ellen Hogan
Id Ellen Hogan



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Hon. Assistant Commissioner for Patents
 Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
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II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
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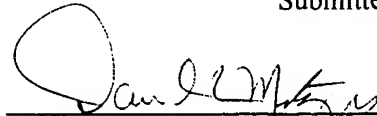
III. OTHER DOCUMENTS

<u>Reference</u>	<u>Title/Author</u>	<u>Date</u>
A	U.S. Patent Application Serial No. 29/141,201, "CONTAINER", specification and drawings	05/01/01
B	U.S. Patent Application Serial No. 29/146,927, "CONTAINER", specification and drawings	05/01/01
C	#3533 - 5 Gallon Water Bottle; STC Plastics, Inc. web site - www.stcplastics.com	12/05/01

IV. EXPLANATION OF RELEVANCE

References A and B are being provided to advise the Examiner of the co-pending patent applications; Reference C is believed to be prior art.

Submitted by,

 (Reg. 32,919)

David R. Metzger

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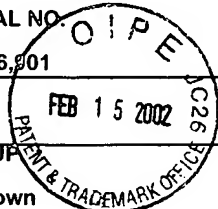
Attorneys for Applicant(s)

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DATE: February 5 2002 SHEET 1 of 1

Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98 (b))	ATTY. DOCKET NO.	SERIAL NO.
	9798495-0037	10/036,901
	APPLICANT	
	T. Beaver, et al.	
	FILING DATE	GROUP
	December 21, 2001	unknown



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLIC- ATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANS- LATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

		U.S. Patent Application Serial No. 29/141,201, filed May 1, 2001; "CONTAINER", specification and drawings.
		U.S. Patent Application Serial No. 29/146,927, filed May 1, 2001; "CONTAINER", specification and drawings
		#3533 - 5 Gallon Water Bottle; STC Plastics, Inc. web site - www.stcplastics.com, 12/5/01

EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

(Form PTO-1449)